

# Department of Homeland Security Funding: What's New for 2012?



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- *Major Changes for 2012*
- *2012 Allocations*
- *HSGP Eligibility Review*
- *Direct vs. Pass-Through*
- *Overarching Priorities*
- *HSGP FY12 Program Priorities*
- *State Homeland Security Program (SHSP)*
- *Urban Areas Security Initiative (UASI)*
- *Operation Stonegarden (OPSG)*
- *Discontinued DHS Programs*
- *Other DHS Programs*
- *Useful Websites and Resources*





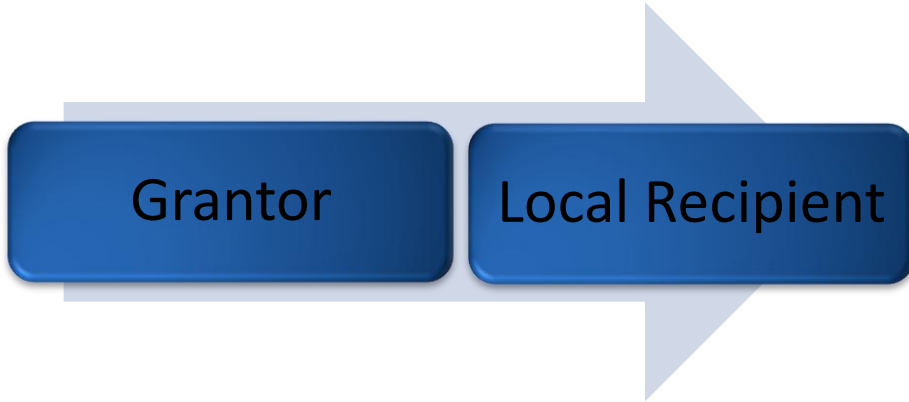
- Significant Decrease in funding
- Seeks to maximize limited funding
- 2012 introductory year to these changes
- 2013's "new grants vision"
  - Consolidation of programs
  - Streamlining model
  - Addressing of core capabilities in NPG
  - 2 year performance period

- Homeland Security Grant Program (HSGP):
  - Operation Stonegarden – \$46.6 million
  - Urban Areas Security Initiative (UASI) - \$490.4 million
  - State Homeland Security Program (SHSP) - \$294 million



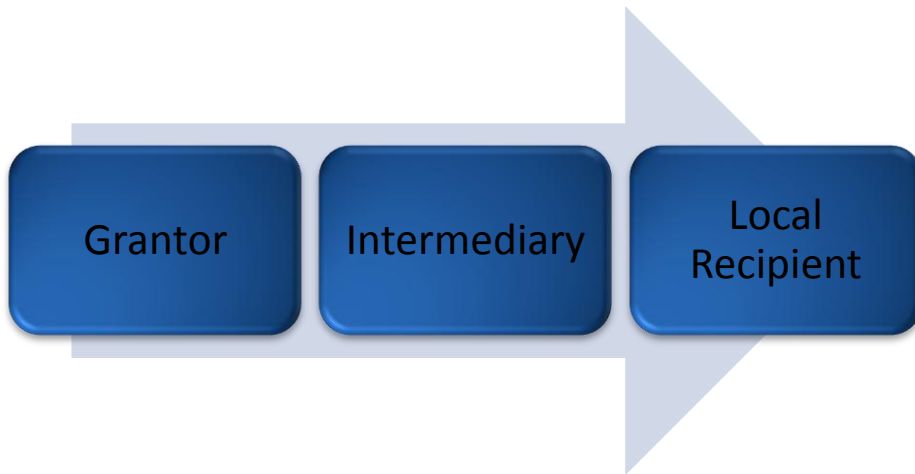


- **SHSP** - All 50 states, the District of Columbia, the Commonwealth of Puerto Rico, American Samoa, Guam, Northern Mariana Islands and the U.S. Virgin Islands
- **UASI** - The 31 eligible highest risk urban areas
- **OPSG** - Local units of government at the county level and federally recognized tribal governments in the states bordering Canada (including Alaska) or Mexico and states and territories with international water borders



## - Direct Grants

- Usually national/state/local programs with specific purpose
- Everyone is responding to the same program guidance



## - Pass-Through Grants

- Larger federal programs
- SAA involvement
- State determined priorities and methods



- Presidential Policy Directive 8: National Preparedness
  - This policy describes the Nation's approach to preparing for the threats and hazards that pose the greatest risk to the security of the United States.
  - The policy takes a community-wide approach to emergency preparedness and addresses core capabilities necessary to meet risks.



- Key Aims of PPD8 include:
  - Preventing, avoiding, or stopping a threatened or an actual act of terrorism.
  - Protecting our citizens, residents, visitors, and assets against the greatest threats and hazards in a manner that allows our interests, aspirations, and way of life to thrive.
  - Mitigating the loss of life and property by lessening the impact of future disasters.
  - Responding quickly to save lives, protect property and the environment, and meet basic human needs in the aftermath of a catastrophic incident.
  - Recovering through a focus on the timely restoration, strengthening, and revitalization of infrastructure, housing, and a sustainable economy, as well as the health, social, cultural, historic, and environmental fabric of communities affected by a catastrophic incident.



- Priority One: Implementation of PPD-8 and the Whole Community Approach to Security and Emergency Management
  - Objective One: Completion of threat and hazard identification and risk assessment (THIRA)
  - Objective Two: Planning
  - Objective Three: Organization-typing of equipment and training
  - Objective Four: Sustaining Capabilities



- Priority Two: Building and Sustaining Law Enforcement Terrorism Prevention Capabilities
  - Objective One: NSI Training
- Priority Three: Maturation and Enhancement of State and Major Urban Area Fusion Centers
  - Objective One: Baseline Capabilities
  - Objective Two: Analytic Capabilities

# HSGP Program Priorities for 2012



- Whole Community Engagement
- Collaboration with Tribes
- Collaboration with Nonprofit Organizations
- Consolidation of Law Enforcement Terrorism Prevention Activities



- **State deadline:** May 4, 2012 at 11:59 pm EST
- **Award Distribution:** allocated based on three factors—minimum amounts as legislatively mandated, DHS' risk methodology, and anticipated effectiveness based on the strength of the Investment Justification (IJ)
- **24-month project period**
- Estimated award date is on or around **September 30, 2012**



- **Eligibility:** Only State Administrative Agencies (SAAs) are eligible
- States must make at least 80% of their awards available to local units of government
  - Local pass-through programs vary by state
  - Contact your SAA for more information on your local solicitation



- SHSP funds must support terrorism preparedness activities
- Some terrorism preparedness activities simultaneously support preparedness for other hazards—if activities are not explicitly focused on terrorism preparedness, grantees must demonstrate dual-use
- Preparedness activities should be integrated across disciplines, agencies, and levels of government



- **State deadline:** May 4, 2012 at 11:59 pm EST
- **Award Distribution:** allocated to designated Urban Areas based on DHS' risk methodology and anticipated effectiveness based on strength of IJs
- **24-month project period**
- Estimated award date is on or around **September 30, 2012**



- **Eligibility:** State Administrative Agencies (SAAs) are eligible to submit applications *on behalf of* eligible Urban Area applicants
- States must make at least 80% of their awards available to local units of government
  - If the SAA is going to use UASI funds in support of an Urban Area, the state must propose an Investment Justification describing how these funds will be used



- The UASI program is intended to address the unique multi-discipline planning, organization, equipment, training, and exercise needs of high-threat, high-density Urban Areas
- Activities must support terrorism preparedness by building or enhancing capabilities related to terrorism prevention/response, or demonstrate dual-use quality for activities not explicitly focused on terrorism preparedness



- Urban Areas may use UASI funds to support regional approaches to overall preparedness
- The adoption of regional response structures is encouraged whenever appropriate
- Grantees must demonstrate the integration of children and individuals with disabilities or access to functional needs into activities



- SAAs are responsible for fiduciary/programmatic administration compliance and must:
  - Identify POCs
  - Define the Urban Area
  - Establish the Urban Area Working Group (UAWG)
  - UAWG will be responsible for coordinating program initiatives
  - UAs must utilize their Urban Area Homeland Security Strategy as the basis for requesting funds in their UASI  
IJ



- **State deadline:** May 4, 2012 at 11:59 pm EST
- **Award Distribution:** allocated based on risk-based prioritization using a border risk methodology measuring threat, vulnerability, miles of border, and other border-specific “law enforcement intelligence”
- **24-month project period**
- Estimated award date is on or around **September 30, 2012**



- Eligibility: Only State Administrative Agencies (SAAs) are eligible to apply *on behalf of* eligible sub-recipients (local units of government at the county level and tribal governments in states/territories with international borders)
- States are prohibited from obligating or expending funds until each unique county-level or equivalent Operation Order/Fragmentary Operations order with an embedded estimated operational budget has been reviewed and approved through official notice from FEMA removing this special condition



- OPSG supports enhanced cooperation among law enforcement agencies operating along international borders, including corridors along Mexico and Canada and States/territories with international water borders
- Projects include:
  - Encourage coordination/collaboration among law enforcement agencies;
  - Continue distinct capability enhancements for border security/protection; and
  - Support Governors' requests to activate or deploy National Guard Units or elements of state law enforcement



- OPSG funds may be used to promote a layered/coordinated approach to law enforcement within border states and territories
- Establishing and maintaining an OPSG Integrated Planning Team with representation from participating law enforcement agencies is recommended
- All operational plans should be developed with cooperation and coordination among Federal, State, local, tribal, and territorial partners

- The following programs are no longer funded as discrete programs, but all activities/costs allowed under the last solicitation for each are **allowable and encouraged** under the FY12 HSGP:
  - **FY10 Buffer Zone Protection Program (BZPP)**
  - **FY10 Interoperable Emergency Communications Grant Program (IECGP)**
  - **FY11 Citizens Corps Program (CCP)**
  - **FY11 Driver's License Security Grant Program (DLSGP)**
  - **FY11 Metropolitan Medical Response System (MMRS)**





# Discontinued Programs-Additional Coordination Requirements

- The following coordination requirements remain in place for FY12 even though their original programs are no longer funded as discrete programs:
  - **Mass Casualty Incident Preparedness (formerly MMRS program):** collaboration with State/local health department, enhances integration of emergency management, health, and medical systems to prepare for response to mass casualty incidents
  - **Citizen Preparedness (formerly CCP program):** HSGP recipients must have a Citizens Corps Council or equivalent that includes representatives from emergency management, homeland security, law enforcement, fire service, emergency medical services, elected officials, the private sector, and other key groups

- **Tribal Homeland Security Grant Program (THSGP)—\$6 million** to eligible tribal applicants to implement preparedness initiatives to help strengthen the nation against risk associated with potential terrorist attacks and other hazards.
- **Nonprofit Security Grant Program (NSGP)—\$10 million** to support target-hardening activities at non-profit organizations at high risk of a terrorist attack and located within one of the FY2012 UASI-eligible urban areas.
- **Transit Security Grant Program—\$87.5 million** to owners and operators of transit systems (including intracity bus, commuter bus, ferries, and all forms of passenger rail) to protect critical surface transportation and increase the resilience of transit infrastructure.

- **Intercity Passenger Rail (Amtrak) Program—\$10 million** to protect critical surface transportation infrastructure and the traveling public from terrorism and increase the resilience of the Amtrak rail system.
- **Port Security Grant Program (PSGP)—\$97.5 million** to help protect critical port infrastructure from terrorism, enhance maritime domain awareness and strengthen risk management capabilities in order to protect against improvised explosive devices and other non-conventional weapons.
- **Emergency Management Performance Grants (EMPG) Program— \$339.5 million** to assist state and local governments in enhancing and sustaining all-hazards emergency management capabilities.



- As of January 2012, nearly \$8.3 billion in previously awarded grant funding is available for expenditure
- DHS/FEMA is allowing grantees additional flexibility to accelerate the spending of remaining FY07-11 grant funds (including formula programs)



# Available Funds from Previous Years, cont'd

## Eligible programs from FY07-11 (also to include FY12 grants):

- Buffer Zone Protection Program (BZPP)
- Driver's License Security Grant Program (DLSGP)
- Emergency Operations Center (EOC)
- Freight Rail Security Grant Program (FRSGP)
- Homeland Security Grant Program (HSGP)
  - State Homeland Security Program (SHSP)
  - Urban Areas Security Initiative (UASI)
  - Operation Stonegarden (OPSG)
  - Metropolitan Medical Response Grants (MMRS)
  - Citizen Corps Program (CCP)
- Intercity Bus Security Grant Program (IBSGP)
- Intercity Passenger Rail Security Grant Program (IPR)
- Interoperable Emergency Communications Grant (IECGP)
- Non-Profit Security Grant Program (NSGP)
- National Special Security Event (NSSE) Grant
- Port Security Grant Program (PSGP)
- Regional Catastrophic Preparedness Grant Program (RCPGP)
- Transit Security Grant Program (TSGP)
- Tribal Homeland Security Grant Program (THSGP)





- Measures to increase flexibility and expedite the drawdown of grant funds include:
  - Reprioritization of funds from previous years to more urgent priorities
  - Waivers for certain funding limitations
  - Building and sustaining core capabilities— maintenance/sustainment costs associated with previously purchased equipment, training, and critical resources



- DHS/FEMA will reclaim funds from previous years not spent by the dates laid out in the guidance:
  - FY07 funds by June 2012
  - FY08/09 funds by September 2012
  - FY10 funds by September 2013
  - FY11/12 funds by the date on the award agreement
    - Extensions must be requested sparingly and will be granted only due to compelling legal, policy, or operational challenges

- Responder Knowledge Base (RKB) - [www.rkb.us](http://www.rkb.us)
- Grants.gov – [www.grants.gov](http://www.grants.gov)
- DHS Funding - [www.dhs.gov/xopnbiz/grants/](http://www.dhs.gov/xopnbiz/grants/)
- Links to Homeland Security and Public Safety Web Sites  
[www.dhs.gov/files/resources/editorial\\_0306.shtm](http://www.dhs.gov/files/resources/editorial_0306.shtm)
- State Admin Agency (SAA) -  
[www.fema.gov/government/grant/saa/index.shtm](http://www.fema.gov/government/grant/saa/index.shtm)
- Homeland Security Funding -  
[www.homelandsecurityfunding.info/](http://www.homelandsecurityfunding.info/)
- Other Grants Office .infos - [www.grantsoffice.info/](http://www.grantsoffice.info/)
- Grants Office FUNDED Publication -  
<http://grantsoffice.com/funded.aspx>



- Prioritize your project
- Gather internal support
- Contact your UASI Working Group or SAA
- Write application
  - Follow all directions provided by the funder
  - Be specific in your budget
  - Be sure your narrative and budget are consistent
  - Customize your proposal to reflect your individual needs
  - Use statistics to document need
  - Make your objectives measurable and realistic
- Monitor for Awards



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